

The Japan Ship Owners' Mutual Protection & Indemnity Association Loss Prevention and Ship Inspection Department

Marine Weather Ship Handling in Rough Sea Head and countering / Following Seas

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Although accidents due to dragging anchor occur during gales, in particular, most of them are caused by typhoons. It has not been so long since a coastal tanker(after discharging jet fuel) collided with and seriously damaged a connecting bridge at Kansai Airport, in September 2018, as a result of dragging anchor caused by Typhoon No. 21 that had landed in the Kansai region. A few months prior to this accident, we held a Loss Prevention seminar in Japan titled "Dragging Anchor - Case Studies and Preventive Measures -" (April through June 2018). We issued our "Dragging Anchor - Case Studies and Preventive Measures - (Vol.43)" in July, 2018, compiled from the contents of the seminar of which a large number of members thankfully participated. In this bulletin, we discussed typhoons.

This time, we are going to introduce "Ship Handling in Head and Countering Seas". This is something that deserves extra attention from ship operators when navigating in rough seas. We will focus on the generation mechanisms behind rough weather and sea conditions. When reading this guide, please refer to the above-mentioned bulletin No.43.



Although the motion (circulation) of the atmosphere is extremely complicated, a characteristically large and constant motion (circulation) can be observed from outer space. This is known as the global circulation of the atmosphere.

Figure 1 is a schematic view of the wind patterns close to the ground (annual average) circulating in the atmosphere. The solar energy reaching the earth consists of a visible ray (approximately half), with the



remainder consisting mostly of infra-red rays. The earth radiates the received solar energy as infra-red rays back into space. However, the actual radiant energy remaining in the atmosphere is positive at low latitudes and negative at high latitudes. Therefore, there will be a huge temperature difference between both latitudes as a result of this radiation.

If the heat were not carried from the equator to either of the poles, the equator zone would become too hot for any creature to live. On the contrary, if there were a large amount of snow near both poles, they would end up being glaciers that never melt. However, in reality heat in the vicinity of the equator is carried to each pole direction and the earth temperature is comfortably adjusted for animals and plants to survive.

It is the wind that moderately adjusts the temperature differences between the north and south poles of the globe. Winds are generated due to the temperature differences in the atmosphere and play a role in reducing the temperature differences. In addition to regulating global temperatures, the atmosphere serves the following three roles:

- To supply oxygen necessary for living creatures and carbon dioxide necessary for photosynthesis of plants.
- The ozone layer absorbs ultraviolet rays which are harmful to living creatures.
- To prevent meteorites reaching the surface of the earth. Meteorites disintegrate once having entered the atmosphere.

Figure 2 illustrates the sizes of the sun and earth and their relative positions. While the earth has a radius of 6,369 km, the sun has a radius of 695,508 km which is 109 times that of the earth. And, the distance from the earth is 149 million kms. The sun is 23,395 times the radius







of the earth, and the ratio of the distance of the earth's radius by comparison is only 0.0004%. Yet, the temperature difference between the equator and poles is more than 60 degrees centigrade.

Over the recent years, issues such as global warming and environmental pollution are becoming more serious. It is said that the earth was born approximately 4.6 billion years ago. Let us assume that this 4.6 billion years was compressed into 1 year and that the birth of the earth was set to 00:00 on January 1. The progressive emission of carbon dioxide began during the industrial revolution during the middle of the 18th century. Applying the compressed time frame, human beings started air pollution around "December 31 at 23:59:58", which can be said to mean that a large amount of fossil fuel was consumed in only 2 seconds, which has led to the expansion of global environmental problems giving us global warming.

Marine pollution caused by microplastic started in the 1980s; if the one-year time frame was applied, it would have begun on December 31 at 23:59:59.6 seconds - which is only 0.4 seconds before the end of the year.

Next, on closely examining the structure of the atmosphere, the layers of the atmosphere can be classified as follows (Fig. 3):

10,000km

Outhside Atmosphere

690km



Schematic diagram of each layer of the atmosphere(Scale is incorrect)

Exosphere:

As this exists in such small quantity, this is not classified as an atmospheric layer in general.

Thermosphere:

Layer from 80kms up to approximately 700 to 800kms. The higher the altitude, the greater the temperature increases. The boundary of the Exosphere is named the Thermopause or Exobase. It is difficult to define the boundary between the Thermosphere and Exosphere, because there is a large distance of 500 to 1,000kms.

Kármán line

(100kms up in the atmosphere):

Fédération Aéronautique Internationale (FAI) and the National Aeronautics and Space Administration (NASA) define that anything outside Kármán line is outer space, as a convenient definition in order to carry out their activities smoothly. Anything under this line is referred to as the atmosphere in general. However, atmospheric pressure at this altitude is only a millionth of the ground surface.

Mesopause:

Layer from 50 to 85kms. The higher the altitude, the lower the temperature. The boundary of the Thermosphere is named the Mesopause.

Stratosphere:

Layer from 9 to 17kms up to 50kms. The higher the altitude, the greater the temperature increases. The ozone layer is found here. The boundary of the Mesopause is named the Stratopause.

Troposphere:

Layer from 0 - 9/17 \sim 20km. The higher the altitude, the lower the temperature. Various weather phenomena occur. The water (vapour) ratio is higher than that of the upper layer. According to mass ratio, more than half of the atmospheric elements exist in the Troposphere. In the vicinity of the equator, it is thick at 17km, and at the pole, it is thin at 9km. The boundary of the Mesopause is named the Tropopause.



Comparing the diameter of the Earth (12,739 km) with the Kármán line (100 km), as can be seen in Fig.4, it is understood that the atmosphere occupies a thin range (only 0.8% of the diameter of the Earth).

Thus, it is easy to imagine how we, humankind, have dramatically changed the global environment, in a very short time, and within an extremely thin range of atmosphere that was created more than 4.6 billion years ago. Still, it is important that we understand the significant role of the atmosphere and continue to tackle the impending environmental issues.



§ 3 Air Mass

Viewing the earth from outer space, there are flat areas of land and sea that stretch out more than 1,000 kms around the earth. In addition, these areas almost all look homogeneous. While the air remains over these continents and oceans for extended periods of time (for instance, over a week), it will gradually assume particular characteristics associated with each region. This large mass of air is referred to as Air Mass, and the area where the air mass generates is called the point/place of origin.

Air masses change seasonally and influence the climatic changes in the regions covered. Figure 5 illustrates air mass by classification.



Air masses do not originate in the vicinity of Japan. However, Japan is located in the mid-latitude where the vast Eurasian Continent meets the Pacific Ocean, thus its climate is strongly influenced by several air masses that change seasonally.

Figure 6 illustrates air masses that very much influence the climates of Japan.



Fig. 6 Cited from Japan Captains' Association, DVD



It is a Tropical Cyclone when the air pressure in the middle is lower than that of the surrounding air pressure. When the shapes of the isobars are vague and lack coherence, they are simply referred to as an air pressure depression. In the northern hemisphere, winds within low pressures blow counter clockwise into its centre, due to the rotation of the earth. This blown wind converges to become an updraft. Because adiabatic cooling [Note 1] is caused by an ascending air current which produces clouds and consequently rain, in general the weather is bad inside tropical cyclones.

Tropical cyclones are defined as follows according to the location and cause of generation.



Extra-tropical cyclones are most frequently generated, and when referred to as tropical cyclones, an extra-tropical cyclone is usually what is being referred to.

An Extra-tropical Cyclone	It is generated in middle and high latitudes and has fronts.		
A Cold-core Cyclone/ Orographic Cyclone/ Thermal low	It is generated in middle and high latitudes and has no fronts.		
Tropical Cyclones	Tropical cyclones generated in tropical waters at low latitudes. Because these completely differ from extra-tropical cyclones in that their generation and structures are different, these will be covered in 4-2.		

Note 1: Adiabatic cooling

"Adiabatic change" refers to a change in air state whereby no heat is exchanged with the surrounding air. When gas adiabatically expands, the temperature naturally decreases without cooling down (adiabatic cooling). On the contrary, when gas is adiabatically compressed, the temperature increases naturally (rise in adiabatic temperature).

The fact that gas adiabatically expands, under the condition that energy (heat) is not transferred, and because volume increases against the external pressure, this means that it is working against the external pressure. In other words, it uses its own (thermal) energy which means that the temperature decreases. Adiabatic compression is the opposite of this.

With the updraft of air mass, it will expand naturally because the nearby pressure decreases. At this moment when it expands, there is almost no transfer of heat between the external and internal pressure. However, because the adiabatic expansion releases energy, the temperature drops. The proportion is 1.0° centigrade for every 100 meters of updraft. However, the condition at this time assumes that the water vapour is still unsaturated (the temperature has not dropped to dew-point), even if the temperature of the air mass has decreased. The way this water vapor descends, due to the high temperature as the air mass rises, along with water vapor that has not yet reached saturation, is referred to as dry adiabatic lapse rate. When the air

mass drops, the temperature rises in proportion to the dry adiabatic lapse rate because of the adiabatic compression.

Dry adiabatic lapse rate = $1.0^{\circ}C/100m$

However, general air mass contains water vapour. This is when it reaches dewpoint, because the water vapour changes into water while the temperature of the updrafting air mass has been dropping. As the water vapour contained in the air mass condenses, fine moisture is generated and clouds are then formed. It is necessary to understand that latent heat will be released as the water vapour condenses, when the air mass rises while clouds are being generated (air mass in which water vapour has become saturated). Because the latent heat warms the air mass, the extent to which the air mass temperature decreases while clouds are forming is smaller than the proportion of dry adiabatic lapse.

The moisted adiabatic lapse rate can be defined as: the temperature that has dropped due to altitude, when the air mass rises while clouds are being generated (air mass in which water vapour has become saturated). This proportion can differ depending on the conditions, but on average it is approximately $0.5 \degree$ per 100 meters of altitude. On the contrary, when air mass containing clouds descends, it absorbs (vaporization) heat when the moisture evaporates. As the temperature does not increase in proportion with the dry adiabatic lapse rate, it increases in proportion with moisted adiabatic lapse rate. It is for this reason that a can of compressed gas is cold.

Moisted adiabatic lapse rate = 0.5° /100m

Let's take a closer look at the circulation of the atmosphere. For instance, in the event that the temperature drops at the moisted adiabatic lapse rate, while moist southeast wind blows into the Japanese islands in the summer and even if it is raining at an updraft of 2,000 meters along the mountain range, the temperature of the top of the mountain will be 20°C, which is 10°C lower, when the temperature near the sea surface is 30°C (moisted adiabatic lapse rate: 0.5°C /100m x 2,000 meters).

When this atmosphere descends down the slope of the mountain, the temperature will reach 40 °C (2,000 meters x 1°C /100m) at the sea surface. Because the temperature increases at the dry adiabatic lapse rate if there is no vapour present. Foehn phenomenon on the Japan Sea side is generated by this mechanism.



4 – 1 Extra-tropical Cyclones





Fig. 7 Masanori Shiraki, 2007, Shin Hyakuman-nin no Tenki Kyōshitsu: Seizando



Fig. 8 Japan Captains' Association,

Regarding extra-tropical cyclones with fronts, firstly, a stationary cold front (See Fig. 7) is

generated where the cold air mass and open subtropical air mass converge at almost the same force. The energy needed to generate and develop an extra-tropical cyclone is the potential energy difference between the temperatures of those air masses.

Before long, when the difference between the wind speed of the cold and warm air exceeds a certain degree around this cold front, the wave of the front (air swell) starts to form. The same principle is true for waves that are formed by wind blowing across the sea. In other words, waves are generated on the boundary surface between two fluids that have different characteristics. Although sea waves go up and down, the wave of a front moves up and down from south to north. Figure 8 illustrates this.

At the point where the front swells and the warm air convects into the cold air, a depression of pressure is generated due to a pressure drop in the surrounding air pressure. In the northern hemisphere, on the eastern side of the front's bulge, because wind tends to blow in a northerly direction and the warm air rises up, seemingly creeping, over the cold air, it will become a warm front.

On the other hand, on the western side of the front's bulge, because wind tends to blow in a southerly direction and the cold air rises up, seemingly creeping, over the warm air, it will become a cold front. Other air pressure depressions other than these form at the junction of two fronts. In addition, the axis which connects the center of the surface air pressure depression with that of the upper-air pressure depression is referred to as the "axis of pressure". This axis inclines to the west.



4–1–2 The Structure and Development of Extratropical Cyclones



Fig. 9 The structure of Extra-tropical Cyclones Masanori Shiraki, 2007, Shin Hyakuman-nin no Tenki Kyōshitsu: Seizando

Figure 9 illustrates the structure of extra-tropical cyclones when developing. Figure (b) is a bird's-eye view of a schematic weather chart; the cloud and rain areas etc. are shaded in. From the cyclonic central position, the warm front stretches out to the southeast and the cold front extends out to the eastwest. Dense isobars generate an air circulation which constitutes

even stronger low-pressure air, and the winds blow counterclockwise into the centre of the cyclone. Figure (a) is a cross-sectional diagram taken from figure (b) where A-A' is in the direction of east-west and to the north from the centre of the Tropical Cyclone.

Figure (c) is also a cross-sectional diagram taken from (b) where B - B ' is in the direction of east-west to the south from the centre of the Tropical Cyclone.

Westerlies and the jet stream's core are located on the upper layer of a developing extra-tropical cyclone (see Fig.8), where the lowest centre of the pressure is on the west side of the surface cyclone and where wave amplitude (degree of meandering) remains large. This structure is a common characteristic of developing extra-tropical cyclones. Another feature is that there is upward motion on the east side of the upper-level pressure and a downward flow on the west side.



Fig. 10 The structure of Extra-tropical Cyclones Masanori Shiraki, 2007, Shin Hyakuman-nin no Tenki Kyōshitsu: Seizando

Due to the upper-level pressure trough that moves eastward, the front undulates dramatically and unstably. The extra-tropical cyclone passes in the vicinity of Japan as it continues to develop (Fig.11).





Fig. 11 Japan Captains' Association, DVD

4-1-3 The Mature Stage of Extra-tropical Cyclones

The central air pressure of the extra-tropical cyclone reaches its lowest in the sea east of Japan. At this stage, the front begins to be occluded and forms the occluded front. This is when the force of the extra-tropical cyclone reaches its peak (Fig. 12).



Fig. 12 Japan Captains' Association, DVD

The Rapid Development of Extra-tropical Cyclones at the Nojimazaki Point (on the southern tip [at the southern extremity] of the Boso Peninsula in Chiba prefecture) in Winter

In winter, it should be noted that extra-tropical cyclones may rapidly develop in the region between east of the Nojimazaki Point and the western North Pacific Ocean. In this sea area, the Kuroshio Current raises the seawater temperature. Isotherm lines extend from west to east and the seawater temperature is higher in the southern area, more so than in the northern area where it gets colder (Fig.13).



Fig. 13 Japan Captains' Association, DVD

The upper-level cold air protrudes southward like a "wedge" or "tongue" toward the cold front of the surface low and travels from west to east along with the easterly migration of the cyclone (Fig. 14).



Fig. 14 Japan Captains' Association, DVD



When upper cold air flows southward like a wedge over the waters off Nojimazaki Point, where water temperatures are high and the temperature difference between the cold air and warm seawater becomes greater as the cold air moves southward, ocean waves tend to increase in height. Because of the significant temperature difference between seawater and the cold air in the area behind the cold front and presence of additional water vapour, the sea waves off Nojimazaki Point are prone to be larger than usual.

In the event that a swell from a different direction collides with the low pressure passing before it, irregular waves such as pyramidal waves may be formed, and this may cause extremely dangerous waves for vessels. Please pay extra attention to high-wave sea areas (Fig. 15).



Fig. 15 Japan Captains' Association, DVD

On 5 January, 1969, bulk carrier "Boriba Maru" (33,814GT, Loa: 223m) sank, then on 9 February, 1970, another bulk carrier "Califorunia Maru" (34,002GT, Loa: 218m) sank. Two other vessels in the same area and at around the same time became distressed and sank: tanker "Sophia P." (details unknown) on January 5, 1970, and a cargo ship "Antonio Demades" (details unknown) on February 7 in the same year.

4-1-4 The Attenuation Stage of Extra-tropical Cyclones

On approaching or almost reaching the waters around the Aleutian Islands, and the front of the cyclone has completely occluded, at this point, the lowest centre of the upper-level pressure is almost above the centre of the surface cyclone causing the axis of pressure (See Fig. 8) to become vertical, and the cyclone becomes an isolated air-eddy without fronts and is completely merged with a cold air mass. The extra-tropical cyclone begins to attenuate and finally decays (Fig. 16).



Fig. 16 Japan Captains' Association, DVD

However, in more recent years, during the winter season in the North Pacific Ocean, cyclones have been crossing east to south of the Aleutian Archipelago while maintaining full force to frequently land in North America where they dissipate, after having peaked in force in the vicinity of Alaska bay. Therefore, in the winter season of the North Pacific Ocean, especially when navigating en route North America to Japan, it is always difficult to choose from the following routes: 1) through the rough sea area: North America \Rightarrow Umnak Strait \Rightarrow Bering Sea \Rightarrow Ats Island \Rightarrow Kinkasan (or Inubozaki) \Rightarrow Tokyo (total distance is 4,610 nautical miles) or 2) via a longer southernbound route (total distance is 4,890 miles) which avoids the rough sea area, that will add an extra 280 nautical miles to the distance.



The author has also frequently experienced winter season operation of a container ship via a North American route. However, during the Pacific West Coast voyage, taking into account that the ports of departure would be in the North America, namely, Vancouver, Seattle and Portland, I decided on taking the northbound route, but would be preoccupied with rough sea countermeasures. Meanwhile, given that the ports of departure, San Francisco and Los Angeles, were located in the south, I was closely checking the weather chart until the very last minute of departure, and also discussed the recommended route in a straight-forward manner with a weather information provider i.e. a weather routing service arranged by the charterer.

As mentioned above, when operating a container ship between San Francisco and Tokyo, if one is to choose the southernbound route, it means that the total distance will be longer than that of the northernbound route via the Bering Sea by 280 nautical miles. In addition, it would take an extra 14 hours when navigating at 20 kts, meaning that one would be behind



Fig. 17

on schedule because of the incurred extra distance, compared with taking the northbound route to avoid rough seas. One is left with no choice but to increase speed in order to make up for the delay. This in turn results in a large amount of fuel being consumed, which makes it a truly painful decision for the Master to select the southernbound route (Fig. 17).

One day in February, the author confirmed via AIS that a container ship chartered by another company set sail from San Francisco at around the same time, and that it was also bound for Tokyo. After the pilot disembarked, the author decided to take the southernbound route. However, since the other container ship set course for the northenbound route, the author asked the other Master why he had decided to take that particular route. His response was as follows.

"I have no other choice but to take the recommended route arranged by the charterer. But, I personally believe that the best choice is the southernbound route, the same route on which you are headed!"

Actually, the recommended route according to the weather route was a northernbound route for our vessel as well. However, in my experience of weather and sea conditions, as predicted, a cyclone developed in Alaska Bay and passed their front which was to later become a northwest head wind. There would also be a huge swell from several different directions. Thus, the author knew that the vessel would be forced to significantly reduce speed.

Also, when navigating in rough sea area affected by a cyclone in Alaska Bay, as the following low pressure moving north east after passing the Ats Island is often experienced, in the event of operating towards the south of a cyclone there, the vessel will be forced to face a southwest head wind, which often means that it will not be possible to steer towards Kinkasan. Again, deciding whether or not to take this route is also a tough choice. Thanks to the charterer agreeing with my decision, eventually, we set course for the southernbound route this time.

Later, via AIS, I enquired as to how the container ship's northbound voyage went once they



had reached the coast of Chiba. The following had occurred:

- In Alaska bay, the cyclone passed in front of the ship and the height of the wave was 12 meters, which was greatly different to the originally predicted 5 meters as recommended. Because of such a high wave together with swell, the Master was forced to reduce speed down to 8 kts.
- The Bering Sea was calm, however, once passing Ats Island, the ship encountered a cyclone which came about as a result of a blocking anticyclone (discussed below in 4-1-5). The Master was obliged to return to North America on a course of <150> navigating southward at 36° north latitude. Then, the Master headed for Tokyo.
- The Master noted that he should have taken the southbound route similar to me at the time of setting sail from San Francisco. As a result, the navigation detour and considerable reduction in speed caused by the cyclone, meant that in order to make up for the delay, the Master had no choice but to navigate at the highest possible speed after having set sail southward at 36°north latitude, and consequently, the amount of fuel that was consumed ended up being far more than that used by the southbound route.

When navigating the North Pacific Ocean en route North America to Japan during the winter season, it is important that the safest and most suitable route be selected. This example illustrates the importance of a mutual agreement between related parties: consideration of the Master's judgement and in-depth pre-meetings with the ship management company and charterer are paramount.

4-1-5 Blocking Anticyclones or "Cut-off High"

The "blocking phenomenon" is observed often in the Aleutian Sea area in winter. It is a phenomenon by which a "cut-off high" [Note No.2] produced in the upper air is located at the front of the extra-tropical cyclone and blocks the eastward migration of the cyclone. If its migration is blocked, the cyclone may, however, maintain its intensity. This results in rough seas for a prolonged period. Therefore, one should pay attention to the "blocking phenomenon" when drawing up a navigation plan to such areas (Fig. 18).



Fig. 18 Stationary low pressure, Japan Captains' Association, DVD



[Note No.2]

This is also referred to as "cut-off high". A warm anticyclone in the upper air which is generated by the separation of the warm air at low latitudes and the high latitudes to the north, when the core located in the westerly belt of the upper air significantly moves south-northward. Normally, the blocking phenomenon occurs after a large stationary low pressure which seems to react with the ground, appears. The migration of the high or low pressure (as can be seen on a surface weather chart) normally moves to the east, carried by the westerlies. However, this phenomenon tends to detour south-north, accompanied by a back flow and so on, because the air is blocked at the west of the blocking anticyclone, and ascendant high pressure which penetrates the troposphere in the middle latitude stays in the area for a prolonged period. This is when cut-off high pressure most notably manifests in the upper-air (Fig. 19 From the Japan Meteorological Agency website).



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4-1-6 Low Pressure Rapidly Develops (Bomb Cyclone) (Figs. 20 and 21)

An extra-tropical cyclone which develops rapidly is referred to as a "bomb cyclone" in Japan. According to an encyclopaedia of meteorological science, the bomb cyclone is defined as "an extra-tropical cyclone that decreases its central pressure at more than 24hPa ×sin (ϕ) within 24 hours (Note: ϕ refers to latitudes)". For instance, if the position is latitude 40° North, the air pressure will decrease more than 17.8hPa/24h per day.

Cyclones on the Japan Sea which have strong winds covering a wide area in early spring, have low pressures which rapidly develop close to Northern Japan along with other low pressures that rapidly develop in the east of Japan or off the coast near Chishima in winter, are known as bomb cyclones. Today, however, the Japan Meteorological Agency does not use the term, because the word "bomb" is not appropriate, thus it is replaced by "rapidly developing low pressure" instead.



Fig. 20 From the Japan Weather Association





Fig. 21 From the Japan Meteorological Agency website

Regarding these types of cyclones, since they can cause rough weather and sea conditions to be worse than expected, in order to safeguard one's ship, close attention must be paid to them.

4 – 2 Tropical Cyclones and Typhoons

4-2-1 Classification and Naming of Extra-tropical Cyclones

Tropical cyclones refer to cyclones generated in tropical or subtropical waters, and the generation of which requires a continuous supply of water vapour energy. Therefore, tropical cyclones are formed in sea areas where sea surface temperatures exceed 26 degrees Celsius. Generally, this means tropical cyclones are formed in sea areas between 5 and 20 degrees latitude, excluding equatorial waters (Figs. 22 and 23).



Fig. 22 From the Japan Weather Association



Fig. 23 From the Japan Meteorological Agency website

Extra-tropical cyclones are internationally classified into four categories according to the maximum wind speed as shown in Fig. 24.



International Tropical Storm Classification

Symbol		TD	TS	STS	т
Classification		Tropical Depression	Tropicl Storm	Severe Tropical Storm	Typhoon
Max Eind	(m/sec)	~ 17.1	17.2 ~ 24.4	24.5 ~ 32.8	32.7~
(m/sec)	Knots	~ 33	34~47	48~63	64~
Beaufort Scale (Wind Speed)		~ 7	8~9	10~11	12~
East Pacific Ocean and Caribbean Sea		Tropical Depression	Tropicl Storm	Severe Tropical Storm	Hurricane
Japan (Japan Meteorological Agency)		Extra-tropical Cyclone	Taifu * (Typhoon)		
Indian Ocean and South Pacific Ocean		Tropical Depression	Cyclone		

Fig. 24 Classification of Extra-tropical Cyclones

As can be seen in the chart, those that have the maximum wind speed of more than 64kts (32.7m/sec) and can be found in the western part of the North Pacific Ocean are called Typhoons, while those that exist in the eastern part of the North Pacific Ocean in the vicinity of the Caribbean Sea are referred to as Hurricanes.

*In Japan, those that have a maximum wind speed of more than 34kts (17.2m/sec) are called Taifu (Typhoon). In the Indian Ocean and the western South Pacific, they are referred to as "cyclones". In general, they are called tropical cyclones (Fig.25).



Fig. 25 The Naming of Extra-tropical Cyclones Japan Captains' Association,

4–2–2 The Generation Mechanism of Extra-tropical Cyclones

Northeast and southeast trade winds in the northern and southern hemispheres blow into the equatorial area and form a trough (Figs. 26 and 27).



Fig. 26





Fig. 27 Japan Captains' Association, DVD

This trough is known as an "equatorial trough" or "Intertropical Convergence Zone" (ITCZ). This TCZ usually migrates westward while undulating north to south. When this undulation becomes unstable and increases, it forms an eddy that generates a tropical cyclone (Fig. 28).



Fig. 28 Japan Captains' Association, DVD

The potential energy of extra-tropical cyclones can be generated by the difference between the temperatures of those air masses. This energy source for a tropical cyclone is the latent heat from a continuous supply of water vapour that is discharged when humid air rises, is cooled, and then condensed into droplets. (See Note:1 on P.7)

In other words, when humid air near a sea happens to start rising (Fig. 29), especially because it tends to become an updraft as the cyclone converges in the ITCZ, once the water vapours start condensing, cumulus and cumulonimbus clouds are produced (Fig. 30).



Fig. 29 Japan Captains' Association, DVD

Fig. 30 Japan Captains' Association, DVD

Because of the emission of latent heat, the temperature increases, compared with the region without clouds. Then, the rising warm air becomes less dense than the surrounding atmosphere, as it continues to rise. This lowers the central atmospheric pressure of the tropical cyclone and keeps the cyclone developing. In these unstable atmospheric conditions, several cumulonimbus clouds of approximately 10 km in scale form horizontally (Fig. 31) and these effects produce tropical cyclones exceeding approximately 100 km in scale horizontally (Fig. 32).



Fig. 31 Japan Captains' Association, DVD



Fig. 32 Japan Captains' Association, DVD



Tropical cyclones produced in tropical waters can develop into typhoons (shown in Table 24), if the maximum wind speed within the area develops to exceed 34 kts (17.2m/sec) under the Japanese classification. As to what kinds of cloud mass can develop into typhoons is still yet to be revealed. However, since it is understood that the energy source of a typhoon comes from sea water surface vapour, it follows that the higher the temperature at an area where the typhoon begins, the stronger the typhoon will be. In addition, for a strong typhoon to develop, it needs to pass an area of sea where the seawater temperature is more than 28 degrees centigrade.

On the contrary, if a typhoon reaches an area of sea that is less than 28°C (or less than 26°C to be exact) or ends up on land, it will start to weaken because of the typhoon's energy excretion. Later, it attenuates and the extra-tropical cyclone finally decays.



Fig. 33 Photograph Image (not Typhoon generated)

4-2-3 Structure of Extra-tropical Cyclones

Typhoons are huge atmospheric eddies with diameters ranging between hundreds to thousands of kilometres. Inside the eddy, strong updrafts form cumulonimbus. The "eye of a typhoon" is formed by a downdraft at the centre of the typhoon, and the heaviest rain and strongest wind occur below the "eye wall." On the other hand, in the vicinity of the tropopause above the eye of the typhoon, an eddy of cirrus clouds is formed that flows outward clockwise due to the Coriolis' force which is formed as a result of the earth's rotation (Figs. 34 and 35).



Fig. 34 Japan Captains' Association, DVD



Fig. 35 Cross-sectional diagram of a typhoon



"The spiral cumulonimbus band" extending from the centre of a typhoon is known as the spiral band. Within the spiral band, strong wind and heavy rain are generated by the strong general air flow of the typhoon and strong downdrafts derived from huge cumulonimbus. Attention should be paid to this weather condition and poor visibility (Figs. 36 and 37).



Fig. 36 From the Japan Meteorological Agency website



Fig. 37 Japan Captains' Association, DVD

4-2-4 Courses of Extra-tropical Cyclones

We introduced typhoon courses in sea waters around Japan in our Loss Prevention Bulletin "Dragging Anchor - Case Studies and Preventive Measures - (Vol.43)" issued in July, 2018. Please refer to this for more details.



At its early stage, the typhoon migrates westward slowly at a speed of 10 to 20 kms per hour pushed by the easterly trade wind (Fig. 38).



Fig. 38 Japan Captains' Association, DVD

Then, it turns northward under the influence of the general circulation of the North Pacific High. As it migrates northward, the typhoon changes its course eastward, influenced by westerlies in the upper air. This point of course change is known as the "point of recurvature." After changing course to the northeast, the typhoon's speed increases to 30 to 40 kilometres per hour at about 30° north latitude, and to approximately 50 kilometres per hour at about 40° north latitude.



In general, a typhoon's intensity weakens due to the cold waters and low atmospheric temperature as it moves northward. Finally, by the time it reaches the Okhotsk Sea or the North Pacific waters off Hokkaido, it has become an extra-tropical cyclone (Fig. 39).



Fig. 39 Japan Captains' Association, DVD

Regarding this latitude point of recurvature, the author was taught during a university lecture on meteorology that it was located in the vicinity of 25° north latitude in the east of Taiwan. However, on observing recent typhoon routes, it seems that the number of typhoons, that significantly migrate northward and turn at the south of Kagoshima Amami-Oshima (in the vicinity of 25° north latitude), have been increasing. That is to say, although this may be caused by global warming, it seems that more and more of the strongest typhoons are landing on Japan's mainland.

On a 500 hpa upper-air chart, if the Northern Pacific High expands westward widely to the Chinese Continent, it is unlikely that the typhoon will turn and migrate westward. On the other hand, as the North Pacific High retreats to the east and the continent becomes a pressure trough, it is highly likely that the typhoon, drifting on a westerly path, will turn eastward at the western edge of the North Pacific High and be steered to the northeast.

That is, on a 500 hPa upper-air chart, the typhoon frequently takes a course around the North Pacific High, with the North Pacific High remaining on its right. This motion is called

"steering." Statistically speaking, if a typhoon passes the eastern area of the point at 20° north latitude and 130° east longitude, it will turn eastward and head for the southern coast of Japan. If it passes the western or southern area of that location, we know that it will keep westward and head for Taiwan (Fig. 40).



Fig. 40 Japan Captains' Association, DVD

4–2–5 How to read a route map of Extra-tropical Cyclones

We often see nowcasts of typhoon and extra-tropical cyclone tracks in weather forecasts on TV, in newspapers and on the internet (Fig. 41). It is necessary that these nowcasts be properly understood.




Fig. 41 From the Japan Meteorological Agency website

1 Centre position current typhoon

This shows the typhoon's centre position at the time the forecast was broadcasted.

2 Storm zone

The red line refers to a range where strong winds of average speed in excess of 25 meters per second are presumed to be blowing. No. 2 is the storm zone of No. 1.

3 Strong wind area

The yellow line refers to a range where strong winds of average speed in excess of 14 meters per second, at the time the forecast was broadcasted, are presumed to be blowing.

4 Forecast circle

This indicates a 70% probability that the typhoon's centre position at the time of forecast will move into the forecast circle.

5 Storm alert zone

The red line around the typhoon forecast circle identifies the area that will enter the storm zone if the typhoon's centre advances into the forecast circle. When working on an evacuation (sheltering) plan, it is necessary to check the estimated positions of each heading the ship will take towards her destination following the originally plotted (charted) course while checking the progress of the typhoon's track at each interval using nowcasts. Also, if the ship enters the storm alert zone, it is a necessary requirement to revise the evacuation plan while checking the weather charts every time there is an announcement - in order to escape.

4-2-6 Dangerous Semicircle and Navigable Semicircle

A typhoon's winds blow counterclockwise into its centre. Wind speed in the right semicircle is strengthened as the typhoon's migration speed increases. Therefore, because wind speed and waves are always higher in the right semicircle than in the left semicircle, the right semicircle of the typhoon is known as the "dangerous semicircle". On the other hand, because a typhoon's wind speed and blowing wind are reversed, wind is always weaker than in the right semicircle. Thus, the left semicircle of the typhoon is known as the "navigable semicircle" (Fig. 42).



Fig. 42 Japan Captains' Association, DVD



Suppose a typhoon approaches your ship, and your ship enters the "dangerous semicircle" which is the storm zone, navigating along the migrating direction (Fig. 43) of the typhoon. When she navigates having winds and waves from a quarter stern on the starboard side, she cannot escape from the stronger storm zone (Fig. 44).

So, if a ship is about to enter the edge of the typhoon's right-side semicircle, it is a requirement that she avoid the rough sea area and leave it by turning clockwise having winds and waves from a quarter head on the starboard side (Fig. 45).

If a ship enters a storm zone of the left semicircle of the typhoon, she can escape and leave from the storm area by turning her counterclockwise having winds and waves from a quarter stern on the starboard side. She navigates outward of the typhoon and can escape and leave from the storm zone.



Fig. 43 Japan Captains' Association, DVD



Fig. 44 Japan Captains' Association, DVD



Fig. 45 Japan Captains' Association, DVD



Fig. 46 Japan Captains' Association, DVD

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Therefore, if a ship is about to enter the left-side semicircle, she can escape and leave the rough sea area by turning counterclockwise with winds and waves from a quarter stern on the starboard side (Fig. 46).

It is important to remember that the semicircle is a rough sea area even if it is the navigable semicircle. So always bear in mind that your vessel should stay away from a typhoon. It is best to think of the navigable semicircle as "the semicircle where she can escape from rough seas" rather than the semicircle "where she can navigate safely".

If your ship is likely to encounter a typhoon during her voyage, do your best to avoid the storm zone taking her course and size into account, as well as her position relative to the typhoon – in other words relative to the "right-side or left-side semicircles" – and the performance capability of your ship.

Also, when the typhoon is likely to approach while anchoring or loading or unloading in port, take every possible evacuation action well in advance by collecting and analysing weather information as well as following the harbour master's instructions.

§5 How to Obtain Weather Information

During voyages, obtaining and then analysing the latest information is essential for any ships seeking to avoid rough weather due to typhoons, extra-tropical cyclones which rapidly develop ("bomb cyclone") and so on. The final examination of meteorology at my university was to draw surface weather charts on a blank map while listening to the weather information on the radio. However, these days, meteorological information can be obtained from the following sources: weather charts distributed by the Japan Meteorological Agency; typhoon course forecasts available for free on the Internet; and weather information supplied for a fee by weather information companies. Among them, weather information from the Internet and companies is useful when working an evacuation plan because both provide estimated typhoon courses at least a week in advance. Because of this, it is a must that masters and each navigation officer are able to interpret weather information obtained in this way. As there are



many weather reference books available, it is necessary that they be distributed throughout the entire crew and that they be reviewed on a daily basis. Here we will introduce the various weather charts issued by the Japan Meteorological Agency and show you how to use them.

5 – 1 Surface Weather Charts

Usually, "weather charts" refer to "surface weather charts" that show weather conditions on the Earth's surface. On a surface weather chart, isobars for each 4 hPa are drawn with 1,000 hPa as the standard. The chart is published every six hours. Besides barometric pressure distribution, temperature, wind and weather are also drawn. Weather phenomena such as isobars and fronts are analysed using these charts (Fig. 47).



Fig. 47 From the Japan Meteorological Agency website Surface weather chart

Typhoon information can also be found on the surface weather chart. For instance, informa-

tion related to the precision of the typhoon's center position are shown as follows. It is also important that this be understood. "Good" means that the margin of error for the position of the typhoon's centre is less than 30 miles. "Poor" means the margin of error is more than 60 miles, and "Fair" means the margin of error is more than 30 miles but less than 60 miles. It is a must that each piece of written information be understood, this includes other information which is not discussed here.

5 – 2 Wave Charts

Wave charts issued by the Japan Meteorological Agency are as follows:

1	Ocean Wave Analysis Chart
2	Ocean Wave Prognosis Chart (24hour)
3	Coastal Wave Analysis Chart
4	Coastal Wave Prognosis Chart (24hour)

The nowcast and forecast wave charts display "equal wave height lines" composed of meter-by-meter heights of significant waves [Note No.3] and swells, along with "prevailing wave directions," "anticyclonic and cyclonic central positions" and "fronts" (Fig. 48). Just as with surface weather charts and upper-air Weather Charts (upper-air Charts) that will be mentioned below, it is important read the descriptions.





Fig. 48 Ocean wave chart From the Japan Meteorological Agency website

Especially when operating in rough seas, it is important to understand the actual wave cycles, wave heights, wave lengths and so on. By referring to the wave charts, it is a requirement that actual conditions be compared with those on the wave charts.

For example, the outlined arrow shown in Figure 49 represents the propagation direction of the waves that appear to be most prominent in that area. The outlined arrow on the wave chart indicates the prevailing direction of wave propagation in the sea area concerned. Waves propagate in the direction of the arrow. From this example, you can see that the prevailing waves are propagating from northwest to southeast.



Fig. 49 Japan Captains' Association, DVD

Note 3: Significant wave height

Significant wave can be defined as follows: Choose 1/3 of the wave observations (within a timeframe of 20 mins.) in descending order from the top. Of those, the average wave height and period becomes the significant wave. According to this definition, this is also referred to as the "1/3 maximum wave". According to this definition, this is also referred to as the "1/3 maximum wave". (From the Japan Meteorological Agency website)





Also, as can be seen in Fig. 50, if an "outlined arrow in a white circle" is indicated on the wave forecast chart, it means anticipated direction of wave propagation. The number assigned to it represents a forecast value for wave cycles in one-second units; the first decimal place represents a forecast value of the significant wave heights (Note 3) in one-meter units. As such, this example forecasts Northerly waves at a cycle of 11 seconds and wave heights of 2.3 meters.



Fig. 50 Japan Captains' Association, DVD

5 – 3 Upper-air Weather Charts (Upper-air Charts)

Regarding the upper atmosphere, air pressure, wind, temperature and humidity are commonly observed using a balloon. Upper-air Weather Charts are also known as Upper-air Charts, which show the values of upper meteorological parameters that are measured in each area of the world on the atmospheric pressure surface. The surface where atmospheric pressure is constant is known as constant pressure surface. The curve shown on the upper weather chart is the height of the constant pressure surface, the same that is shown on a terrestrial map. All four different upper weather charts (or upper-air chart) shown in Table 52 are issued by the Japan Meteorological Agency.

Constant pressure surface (hPa)	850	700	500	300
Altitude (m)	1,500	3,000	5,500	9,500

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Fig. 52
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Because the meteorological phenomenon is an atmospheric motional phenomenon in the Troposphere from the ground to the Thermopause, it is necessary to observe it three-dimensionally. In this respect, a surface weather chart is insufficient, because it only illustrates the surface weather condition. Therefore, it is only representing one aspect of the weather. On surface weather charts that can be largely influenced by the form of the land, solar insolation and eradiation, both potential temperature analysis (analysis of air mass) and equivalent potential temperature (analysis of the front) cannot be carried out. Therefore, it is difficult

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to understand and forecast accurately the generation and migration of large-scaled high or low pressure, typhoons and so on. On the other hand, as the upper layer is even without air turbulence, it is possible to trace the atmospheric-pressure migration over a long period of time and forecast it. Further, it is known that there is a close relationship between the upper and lower layers. Therefore, it would be important to analyse the weather using upper-air weather charts also.

Along with surface weather charts, upper-air weather charts, which are often used on ships, are 850 hPa (AUAS85) and 500 hPa (AUAS50) upper weather charts (upper-air weather charts).

5-3-1 500 hPa (AUAS50) Upper-air Weather Chart (Upper-air Chart)

An Example of a 500 hPa upper-air chart (Upper-air Weather Chart) is shown in Figure 53.





In this figure, solid lines on a 500 hPa upper-air chart are contour lines, and broken lines are isothermal lines. The contour lines show 60-meter increments and the isothermal lines represent increments of 6 degrees (or 3 degrees, if necessary). W denotes a warm region, and C denotes a cold region. The temperature is shown on the upper left of the "point circle" and the dew-point (spread) is indicated on the lower left of the point circle. Both are indicated at one decimal place. For your reference, regarding the dew-point (spread), the numerical number whose temperature minus dew point temperature is indicated with a unit °C applies to temperature. Therefore, when relative humidity is 100%, its dew-point (spread) becomes 0°C.

From the distribution of the contour lines on a 500 hPa upper-air chart, it is possible to estimate the locations and strengths of westerly sea waves and cores of jet streams. In addition, barometric pressure distribution helps us to locate the regions of cold or warm air. Also, depending on the degree of cold air, it is possible to determine the strength of precipitation and discriminate between rain or snow.

If sea waves of westerlies on the upper layer are closely related to the anticyclones and cyclones on the ground, then, according to the contour lines on a 500 hPa upper-air chart, we are able to interpret the fundamental circulation of the atmosphere among various meteorological phenomena shown in the surface barometric pressure distribution. With this, by inserting a pressure trough and the movement of/variation of peaks (and troughs) on a 500 hPa upper-air chart, we are able to make a more accurate forecast of the circulation, more so than inserting movements of the anticyclones and cyclones, using a surface weather chart.

5-3-2 850 hPa (AUAS85) Upper-air Weather Chart (Upper-air Chart) (Fig. 54)

Observed wind direction is not always in accordance with the that of barometric gradients on the surface weather chart, because surface friction is influential. On a 850 hPa upper-air chart, the height is so that there is no influence caused by surface friction. Thus, convergence or divergence of the lower layer can be more frequently seen on this weather chart. In addi-



Fig. 54 850 hPa Upper-air Weather Chart From the Japan Meteorological Agency website

tion, front and air masses can be located easily using temperature distribution information. In other words, the isothermal lines are crowded at the point where 850 hPa and the front intersect. This area shows a significant change of wind direction and wind speed. It is also possible to ascertain temperature advection from temperature distribution and wind patterns. On both of the 850 hPa and 700 hPa weather charts, the regions, where dew-point (spread) is less than 3°C, are shaded. At 850 hPa, this area is almost equal to that of the spread of lower clouds.



§6 Wind and Waves and Undulations (swells)

When operating in rough seas, waves will be caused by winds and swell from several different directions, which will cause the vessels to undergo a number of complicated oscillations. In this situation, it is important to have a precise grasp of the lengths of waves and their undulations, their cycle and wave heights in order to operate safely. Wind and waves and undulations (swells) will be described below.

6 – 1 Basic Form of a Wave

As can be seen in Figure 55, a single wave has a sine curve movement and the relationship between the wave length, wave speed and cycle can be shown in the following formula.



Fig. 55

In reality, there is rarely one wave or swell, but rather, the ship is tossed in several different waves, winds and swells, all of which differ in wavelength, speed, number of cycles and come from different directions. An example of synthetic waves is shown in Figure 56.



Wave height [Hc], when some waves mix, can be determined when using the following; by taking the root mean square surface of each wave height.

$$Hc = \sqrt{H_w^2 + H_a^2 + H_b^2 + \cdots + H_b^2}$$

For instance, if the wave height is 1m and the height of the swell is 2m, the wave height of the synthetic wave shall be 2.236m.

$$\sqrt{1^2+2^2} = \sqrt{5} = 2.236m$$

6 – 2 Differences between Wind and Waves and Undulations (swells)

As can be seen in Figure 57 below, when wind blows on the sea, the sea surface starts to move and riffled waves propagate in the direction of the blowing wind. If the wave speed is greater than the wind speed, the wave will continue to develop as it is pushed by the wind. Waves that are produced by the wind blowing on the sea are referred to as "wind and waves". Moreover, when wind and waves continue on to an area of sea where no wind is blowing, when the sea wind weakens, or when the direction of the wind suddenly changes, the type of wave that is no longer driven by the wind is referred to as an undulation (swell). An undulation (swell) is a propagating wave that attenuates. Compared with other wind and waves of the same height, its



shape is regular and rounded, and the peak of the wave is also horizontally wide.



Fig. 57 From the Japan Meteorological Agency website

Ship Handling in Rough Sea:Head and Countering / Following Seas

In this chapter, Ship Handling in Rough Sea, I would like to explain how dangerous it is when a ship is pitching and rolling in the event of it being exposed to head and countering or following seas.

7 – 1 Ship Handling in Head and Countering Seas

When a ship navigates in head seas, its hull is subjected to severe shocks which induce violent ship motions. Well trained and experienced navigators are able to respond to this by altering the ship's course and speed as required. However, to accomplish safe navigation in head seas, it is advisable to have more reliable ship-handling techniques backed by theoretical knowledge as to why these phenomena are created and how to avoid the generation of these critical effects, in addition to possessing sea-going experience.

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When there are wind and waves and huge undulations (swells) coming from several different directions, a ship at the mercy of wave forces, heaves, pitches and rolls repeatedly. Also, depending on the ship's relative position in waves and, whether it is being lifted up to the top of a crest or falling into a trough, hogging, sagging and twisting forces generate great deflections in the entire hull structure (Fig. 58). In addition, the ship's speed is usually decreased by wind and wave resistance. This phenomenon is particularly augmented in head seas.



Fig. 58 Japan Captains' Association, DVD

Pitching intensified motion in head and countering seas of rough weather has the greatest influence on the safety of a ship. In particular attention is to be paid to the following relationship between the length of a wave and the length of a ship (Lpp):



① When wave length is shorter than ship length(Lpp)

Because the ship motions are insignificant as the influence of waves is weak, the bottom of the bow neither rises enough to be exposed dangerously nor dips enough for the fore deck to take on water (Fig. 59).



Fig. 59 Japan Captains' Association, DVD

② When wave length is longer than ship length (Lpp)

A ship pitches and heaves slowly at the front and rear surface of waves that will affect its form. However, this does not cause significant movement (Fig. 60).



Fig. 60 Japan Captains' Association, DVD

③ When wave length is almost equal to ship length (Lpp)

When wave length is almost equal to ship length, ship motion will be most intense. The heaving of the bow on a crest and the plunging of it into the succeeding wave will be accelerated (Fig. 61).



Fig. 61 Japan Captains' Association, DVD

In such cases, changes in water level both forward and aft become particularly great in regular wave conditions (See Fig. 62) and relative water level at the bow is greatest when wave length is equal to ship length, and seas are likely to be shipped when the relative water level exceeds the freeboard at the bow (highlighted in orange colour), while in contrast, slamming may occur when the relative water level drops far enough below the forward draft to the extent that the bottom plates at the bow are exposed (highlighted in red).





These head and countering seas cause the following phenomena:



7-1-1 Propeller Racing

Whenever a ship pitches and heaves heavily at the bow, an equivalent heaving motion is generated at the stern. Due to these motions, the stern lifts out of the sea at intervals exposing part of the propeller and causing instant increases of propeller revolutions accompanied by intense vibrations due to the abrupt reduction of propeller load. This phenomenon is called propeller racing and can have adverse effects not only on the propeller itself, but also on the propeller shaft and engine (Photographs 63 and 64).



Photograph 63 Japan Captains' Association, DVD



Photograph 64 Japan Captains' Association, DVD

Therefore, it is recommended to make the stern draft as deep as possible so that propeller immersion is kept at more than 20 percent of the diameter of the propeller when navigating in rough seas. However, when trimming excessively for a ballast passage, forward draft will be reduced. As the possibility of slamming phenomenon increases (mentioned below), it is essential that the hull's condition be properly maintained in light of this (Fig. 65).





Fig. 65 Japan Captains' Association, DVD

7–1–2 Speed Reduction and the Torque Rich Effect on the Engine

When the vessel receives waves and undulation (swells) from the front, the resistance of these combined with additional wind pressure, the ship's speed will decrease, and the engine will undergo a torque rich effect. Figure 66 illustrates speed reduction characteristics in irregular waves. For instance, in the case of a container ship with a length of 250m, the ratio of speed reduction becomes markedly larger at approximately 30%, when wave height is greater than 6m.



Fig. 66 Japan Captains' Association, DVD

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As resistance to the hull increases, the engine requires more fuel in order to maintain the same number of revolutions as set under normal conditions, forcing the ship to plough through the water under excess engine load. This causes what is known as a torque rich effect and may often result in engine trouble due to overheating, or in a great waste of fuel. In such conditions, it is essential to reduce ship speed, because the engine might be damaged as a result of over-heating or it may consume a huge amount of fuel unnecessarily.



Photograph 67 Japan Captains' Association, DVD

If I compare the torque rich effect to driving a car, I am sure that many of our Club members may be able to relate to the following scenario. When a car being driven on a level road comes across a very steep upward slope, its speed falls. In an effort to maintain the same speed, the driver often reacts, by pressing the accelerator down hard. However, the engine output is limited and the speed does not increase. If the effort is continued, the engine will overheat. This is referred to as the torque rich effect.



Fig. 68 Japan Captains' Association, DVD



As with the car, it is essential for a ship to reduce engine revolutions, while the Master and Chief Engineer have in-depth meetings regarding the load status of the engine, whenever there are signs that the engine is becoming torque rich.

7-1-3 Shipping Seas

A ship may sometimes sustain severe damage from the impacting green seas. Deck machinery, deck cargo and hatch covers are often damaged as a result of shipping seas which may cause water to enter into the holds. Damage sustained from shipping seas is two-fold: damage caused to the bow from green sea pounding, and damage inflicted on deck machinery and appliances from the subsequent incursion of sea water.



Photograph 69 Japan Captains' Association, DVD



Photograph 71 Japan Captains' Association, DVD

Photograph 70 Japan Captains' Association, DVD



Photograph 72 Japan Captains' Association, DVD

The dynamic pressure of green sea pounding on the deck vertically from above may reach around twice that of seas being shipped. For example, if a 100 ton mass of sea water fell from 4m above deck, the stress would be equivalent to what 20 fully grown elephants each weighing 5 tons would generate by jumping one after another at intervals of no more than

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three seconds onto a deck area of 40 m^2 from a height of 4m above the deck. It is easy to imagine the scale of such huge dynamic pressure. Furthermore, the dynamic stress of a sweeping mass of launched and shipped seas over decks, proportional to the square of the ship's speed, becomes almost as great as that from vertical pounding. Deck machinery such as sounding pipes and so on can be damaged as a result.



Fig. 73 Japan Captains' Association, DVD

The following are the results obtained from ship model trials of shipping seas: the simulation was carried out under the following conditions:

Gross tonnage	Length	Breadth	Depth	Design draft	Beaufort scale	Wave hight	Mean wave period	Ship speed
699 G/T	78.5m (Lpp)	12.8 m	7.8m	4.52m Even Keel	6	3m	7.13 secs	9knots

When changing the wave length and encounter angle of ship to wave

Results of trials which were conducted using varying wave length to ship length (Lpp) ratios of 0.5 (wave length: 39m), 2.5 (196m) and 1.0 (79m), and by changing the encounter angle of ship to wave from zero to 90 degrees by 15 degrees per each wave length, are indicated in the Figure 74 (three dimensional).





Fig. 74 Japan Captains' Association, DVD

Because the effect of the wave was minimal when applying a wave length to ship length (Lpp) ratio of 0.5 (wave length: 39m), ship motion was insignificant, and no seas were shipped. Also, when wave length was increased to 196m, equivalent to 2.5 times the ship length (Lpp), the ship only pitched and heaved slowly along the surfaces of the waves and, again, no seas were shipped.

When a wave length of 79 m, which is equal to the ship's length, was applied, the pitching motion was intensified, and the phenomena associated with shipping seas constantly occurred.

Meanwhile, when the encounter angle of ship to wave was anywhere between zero to 90 degrees, and wave length was equal to the ship's length, there was almost no change in frequency of shipping seas compared with the encounter angle of ship to wave set between zero to 45 degrees.

When the angle of encounter of ship to the waves was increased to more than 45 degrees, the frequency of seas being shipped started to decrease. Moreover, when the same angle was

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increased in excess of 60 degrees, the frequency of shipping seas dramatically decreased. On the other hand, when the angle of encounter of ship to the waves was increased to 60 degrees, there was increased rolling motion (Photographs 75 and 76).



Photograph 75 Japan Captains' Association, DVD



Photograph 76 Japan Captains' Association, DVD

② When reducing speed

Next, results of trials to test for the probability of shipping seas were conducted using a ship model to simulate a wave length of 79 m, which would be equal to the ship's length, with



a reduction in speed from 11 to 3 knots, with a much greater ship to wave encounter angle between the range of zero to 90 degrees. See Figure 77.



Fig. 77 Japan Captains' Association, DVD

Regarding the frequency of seas being shipped with an angle of encounter at zero degrees, when the speed is reduced from 11 knots to 6 knots, shipping seas can be reduced significantly. Further, no seas were shipped at a speed of 3 knots. Also, when the angle of encounter was altered to 60 degrees, the frequency of seas being shipped was decreased greatly.

In summing up the results of these trials, it is clear that the frequency of seas being shipped increased in proportion to ship speed, and that until the angle of encounter was increased to more than 60 degrees, changing the angle of encounter had limited affect. Figure 78 indicates ship speed and angle of wave encounter and shows wave heights represented by wind forces on the Beaufort scale corresponding to the frequency of shipping seas (10 times/hour) which are shown as blue lines. The relationship between wind forces on the Beaufort scale and wave height, as trial conditions, can been seen in the Table 79.



Fig. 78 Japan Captains' Association, DVD

Beaufort scale	5	6	7	8	9	10	11
Wave Height(m)	2.0	3.0	4.5	6.5	7.5	9.0	11.5

Fig. 79 Japan Captains' Association, DVD

According to the results obtained from ship model trials, if the allowable frequency of shipping seas is 10 times per hour in head seas under wind force 5, ship speed is 12 knots (Fig. 80). If the angle of encounter is altered to 45 degrees, a ship speed of up to 13 knots is permissible (Fig. 81).



Fig. 80 Japan Captains' Association, DVD





Fig. 81 Japan Captains' Association, DVD

If the allowable frequency of shipping seas is 5 times per hour in head seas, ship speed should be 11 knots (Fig. 82). That is to say, when wind force is increased up to approximately 5.2, the frequency of shipping seas can be decreased from 10 times per hour to 5 times per hour if speed is reduced to 11 knots from 12 knots.



Fig. 82 Japan Captains' Association, DVD

Likewise, in the case of a fully laden container ship with a gross tonnage of 40,000 tons sailing in head seas under wind force 10, the frequency of seas being shipped can be reduced by half, from 10 times per hour to 5 times per hour if ship speed is reduced from 19 knots to 17 knots. In the case of a fully laden ore carrier with a gross tonnage of 110,000 tons in head seas under wind force 5, the frequency of seas being shipped can be reduced by half, from 10 times per hour if ship speed is reduced from 13 knots to 12 knots.

To summarize these results, it is clear from Figure 83 that the frequency of seas being shipped can be reduced by half by reducing speed only to around $1 \sim 2$ knots.

	Coaster	Container	Bulker	
GT	699 G/T	40,000 G/T	110,000 G/T	
Lpp	78.5 m	250m	280m	
Frequency of shipping sea	Beaufort 5	Beaufort 10	Beaufort 5	
10 times/hour	12 Kts	19 Kts	13 Kts	
5 times/hour	11 Kts	17 Kts	12 Kts	
Speed difference	1 Kts	2 Kts	1 Kts	

Frequency reduction of shipping sea by speed reduction

Table 83 Japan Captains' Association, DVD

7-1-4 Slamming Phenomenon

When a ship sails at a relatively high speed in head seas, slamming may occur. Slamming can be broken down into the following categories.

① Bottom slamming

Bottom slamming is caused by the interaction of a ship's bottom and the sea surface when a raised hull plunges into the sea (Fig. 84).



Fig. 84 Japan Captains' Association, DVD



② Bow flare slamming

This phenomenon is caused by collision impact with the sea surface at a relatively high speed. This phenomenon often occurs on a ship with a large bow flare such as a relatively fine container ship, PCC and fishing boats (Fig. 85).



Fig. 85 Japan Captains' Association, DVD

③ Bow breaking wave impact

When a vessel sails on a calm sea surface, she propulsers pushing the seawater forward. At that point, seawater is lifted at the bows (built-up waves) . This phenomenon often occurs when full-hull type ships such as tankers and bulkers are fully loaded, by breaking wave impact which is caused by breaking waves superimposed on built-up waves (Fig. 86).



Fig. 86 Japan Captains' Association, DVD

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These forms of slamming phenomena often not only cause structural damage to the bow, bottom or bow flare, but also lead to major cargo damage. Occasionally, such impact and consequent hull damage may result in the ship sinking (Photographs 87 and 88).



Photograph 87 Japan Captains' Association, DVD



Photograph 88 Japan Captains' Association, DVD

Particularly relevant for large-sized container ships, springing and whipping will occur simultaneously: the former can be defined as stationary oscillations of the hull due to a continuous vibration between the hull construction and cyclic wave external force which can occur in relatively calm oceanographic conditions, and the latter can be defined as momentary oscillations of the hull induced by external impacts such as shock load associated with slamming in rough weather.



The author also has been aboard six large-sized container ships in total as a Master. As the author later learned, as a result of such phenomena known as springing or whipping, bow flare slamming occurred due to wind and waves and undulations (swells) diagonally in front, which caused the containers on the foremast and No.1 hold deck to slide from side to side. Although I knew that tankers and bulker hulls can be curved longitudinally, I was very concerned as to whether or not the shell plating would crack, on account of the fact that I had never seen containers slide from side to side in such a way before. At the dock inspection, I remember that there were small, but not severe, cracks on the floor of the under deck passage and handrails in the hold. I believe that new guidelines on the effects of vibrations while operating a ship in rough seas will be necessary.

Just as with the trials that were conducted to analyse the frequency of seas being shipped using a ship model above, trial results of the bottom slamming phenomenon are as follows: The following conditions were applied: Ratio of wave length to ship length (Lpp): 1.0.

Gross tonnage	Length	Breadth	Depth	Design draft	Beaufort scale	Wave hight	Mean wave period	Wave length	Ship speed
699 G/T	78.5m (Lpp)	12.8 m	7.8m	4.52m Even Keel	6	3m	7.13 secs	79m	11knots

Whenever bottom slamming occurs, great pressure is instantaneously exerted on the bottom of the bow by the sea surface. The maximum force created during this impact acts to bend the ship's bow structure upward (Fig. 89).



Fig. 89 Japan Captains' Association, DVD



Fig. 90 Japan Captains' Association, DVD

Figure 90 is a scene which was photographed using a ship model with a transparent bottom so as to observe the process by which the phenomena associated with slamming occur and the influences of such phenomena. The photograph shows that water pressure due to slamming runs toward the bow from the stern. Here, in a ship model trial, researchers studied what conditions cause slamming to be generated.

Trial results of the frequency of bottom slamming are indicated in Figure 91 (three dimensional).





Fig. 91 Japan Captains' Association, DVD

Bow flare slamming becomes more frequent when wave length is equal to ship length in head and countering seas, but the frequency of it considerably decreased when ship speed was decreased to 6 knots. On the other hand, when ship speed was maintained at 11 knots and the encounter angle to the waves was changed (altered angle), the frequency of bow flare slamming did not decrease so significantly when the heading was changed to a course of less than 45 degrees. When the angle of encounter of ship to waves was increased to 60 degrees, there was increased rolling motion, but the frequency of bow flare slamming decreased somewhat. In the same way as we studied the frequencies of shipping seas, trials were conducted using model ships of a container ship and a bulker. A summary of the trials are shown in Table 92. Decreasing the speed may reduce the frequency of slamming dramatically.

	Coaster	Container	Bulker	
GT	699 G/T	40,000 G/T	110,000 G/T	
Lpp	78.5 m	250m	280m	
Frequency of slamming	Beaufort 6	Beaufort 10	Beaufort 11	
5 times/hour	5 Kts	17 Kts	8 Kts	
2 times/hour	4 Kts	13 Kts	5 Kts	
Speed difference	1 Kts	4 Kts	3 Kts	

Frequency reduction of slamming by speed reduction

Table 92 Japan Captains' Association, DVD

7–1–5 Rough Weather Head and Countering Sea Countermeasures

The most effective countermeasure in head and countering seas of rough weather is to reduce speed. Namely, as the above model ship trials have proved, if the angle of encounter is not altered to more than 60 degrees, significant results cannot be expected. Although changing heading course can increase the four different phenomena for head and countering seas, in this case, a new problem will occur: increased rolling motion. Particularly, please pay extra attention to parametric roll resonance.

The two different situations are shown in Figure 93: The first (with no deviation course from a to b) in the event of directly heading for the destination following the original course with slow steaming and the second (with deviation course $\mathbf{a} \Rightarrow \mathbf{c} \Rightarrow \mathbf{b}$) in the event of navigating to the destination with deviation by keeping the speed before deceleration. Each relationship is as follows:




Table 94 shows the angle needed for a direct heading and detour heading of vessels under the same time constraints that set sail at an initial speed of 20 knots or 15 knots.

Change-over angle when the required time in the case of direct deceleration and detour is the same

Deceleration	Initial Spe	ed 20 Kts	Initial Speed 15 Kts		
(Kts)	Speed after Reducing(Kts)	Change-over Angle(degree)	Speed after Reducing(Kts)	Change-over Angle(degree)	
2 Kts	18 Kts	26 degree	13 Kts	30 degree	
3 Kts	17 Kts	32 degree	12 Kts	37 degree	
4 Kts	16 Kts	37 degree	11 Kts	42 degree	
5 Kts	15 Kts	41 degree	10 Kts	48 degree	
6 Kts	14 Kts	45 degree	9 Kts	53 degree	
7 Kts	13 Kts	49 degree	8 Kts	57 degree	
8 Kts	12 Kts	53 degree	7 Kts	62 degree	
9 Kts	11 Kts	56 degree	6 Kts	66 degree	
10 Kts	10 Kts	60 degree	5 Kts	70 degree	

Table 94

As can be seen in Tables 83(P.64) and 92(P.69), if speed is reduced by 2 to 3 knots, the frequency of seas being shipped and bottom slamming due to head and countering seas will be reduced by half.

On the other hand, when calculating the altered angle of the heading needed for a course, requiring the same amount of time to reach the destination, in the event of slow steaming with deviation and without reducing speed by 3 knots, when ship speed is at 20 knots, the altered angle necessary will be 32 degrees. For a ship sailing at 15 knots, the angle will be less than 37 degrees. Namely, if course heading angle is increased to 60 degrees in order

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avoid shipping seas or bottom slamming, even if the initial speed can be maintained, the time of arrival will still be delayed because of the deviated course taken.

On the contrary, in order to not be affected by such phenomenon on an altered course heading of 60 degrees, if a vessel sailing at 20 knots is reduced to 10 knots, and a vessel sailing at 15 knots is reduced to 7 knots (a speed reduction of approximately one-half), the time of arrival will be the same.

Compared with taking a detour, if speed is reduced by adjusting engine output, the amount of fuel consumption will be reduced. In addition, as described above, because there are wind and waves and huge undulations (swells) coming from several different directions, a ship cannot maintain her initial speed even if she can alter her heading course.

From the above, regarding rough weather head and countering sea countermeasures, if a specific ETA is to be realised, having the nerve to reduce speed so as not to expose the engine to the torque rich effect until out of the rough weather, only then is it recommendable to increase speed in order to make up for the delay, resulting in a safe voyage.

7 – 2 Ship Handling in Following Seas of Rough Weather

Commonly, it may have been thought that ship handling in head and countering seas, whereby the vessel heads towards the waves and wind, was considerably more challenging than handling a ship in following seas. However, from a ship operational point of view, the Master and Navigation Officers, for example, consider it to be easier because a ship in head and countering rough weather seas is easier to control as the ship's bow can be positioned towards wind and waves, paying extra attention to the ensuing influences (see previous chapter) the hull may undergo. On the contrary, when being exposed to following seas, more prudent ship operation will be necessary in rough weather, because there is a situation whereby the ship will be unmaneuverable.

When operating in following seas, attention must be paid to the four phenomena below:



1	"Encounter Wave Grouping Phenomena" occur when a ship is sailing in rough seas that involve irregular waves with sudden serial high waves attacking the ship regularly from the aft
2	"Parametric Rolling Phenomena" occurs when the amplitude of the ship's roll is gradually magnified
3	"Reduction of Stability" is a phenomena that occurs when a ship rides on a crest equal in length to the ship's length at midships, thus making the vessel unstable
4	"Broaching-to Phenomena" often resulting from surf-riding in which a ship loses steerage

7-2-1 Encounter Wave Grouping Phenomena

Ocean waves are an integration of irregular waves comprising those of diverse lengths, heights and directions. Specifically, when a ship is sailing at the same speed as a high wave group or navigating whereby the speed of the waves are faster than the speed of the ship speed at the aft, the ship is continuously being hit repeatedly and severely by a series of high waves that cause its manoeuvrability to be uncontrollable. Also, similar to head and countering seas, damage to the hull and steering can be caused by seas being shipped from the astern (poop down). This is a dangerous encounter group wave phenomenon. According to a number of experiment results, the most probable conditions under which a ship might be caught in a dangerous encounter wave grouping phenomena can be seen in Figure 95. Shown are the combination of encounter angles of the ship to the wave coming from aft, the ship's speed and the wave period.



Fig. 95 Japan Captains' Association, DVD

According to 4.2.2. for successive high-wave attack of the IMO's Ship Handling Guidlines (MSC.1/Circ.1228: 11 January 2007), in the event of a ship being exposed to following seas directly from the aft, the dangerous zone is defined to be within a range of 1.3 to 2.0, as can be seen in Figure 96.



Fig. 96 IMO MSC.1/Circ.1228



Also, in 4.2.2.1 the guidelines define how to evaluate whether a ship is being successively attacked by high waves:

- The average wave length is larger than 0.8 L (Lpp : Length between Perpendicular) (MSC/Circ.1228) (See Note 4)
- ② The significant wave height is larger than 0.04 L (Lpp: Length between Perpendicular)
- Note4: According to the "Safety measure for ferries and RORO vessels" in Ministry of Land, Infrastructure, Transport and Tourism." (28 April 2011), the average wave length is recommended to be larger than 0.6 L

Method of evaluation to assess whether a ship is being successively attacked by high waves

For instance, if a ship's Lpp is 120m, wave length is 126m and the significant wave height is 5m, it is possible to ascertain that the ship is being successively attacked by high waves (Calculating formula 97).

(1) ⇒ 120 m × 0.8 = 96 m
$$\leq$$
 126 m
(2) ⇒ 120 m × 0.04 = 4.8 m \leq 5 m

Calculating formula 97

When evaluating, the actual values of wave length and wave height shall be applied. However, because a ship obtains speed ahead while navigating, the actual wave period and length will be different from that experienced by the sway motion of a ship. A graph (Fig. 98) for determining the actual wave period from the wave period as experienced onboard is introduced both in the MSC.1/Circ.1228 and in "Safety measure for ferries and RORO vessels" by the Ministry of Land, Infrastructure, Transport and Tourism.



Fig. 98 IMO MSC.1/Circ.1228 and "Safety measure for ferries and RORO vessels" in Ministry of Land, Infrastructure, Transport and Tourism."

For instance, if a ship is sailing with its angle of encounter to waves at 30° , its speed at 20 knots and feeling as though the period of waves are around 25 seconds, the actual wave period can be calculated using the following formula (The red lines in Fig. 98).



In the protractor to the right in the chart above, locate the point where the 30 degree angle represents waves coming from the aft (angle of encounter of ship to waves at 150 degrees) intersects with a ship speed of 20 knots.

2 Trace along the dotted line to the left side of the graph to find the point at which the wave period is experienced onboard the ship (25 seconds in this case).

3 The actual wave period will be the curve that is closest to the intersection at 25 seconds (9 seconds in this case).

The approximate values of wave length can be calculated using the formula below (see Calculating formula 99).

Wave length (m) = $1.56 \times$ the square of wave period

Calculating formula 99

In the above formula, $1.56 \times 9 \times 9 = 126$ (wave length). Also, the wave height can be observed by the naked eye.

Then, the Master will determine if the ship is within the dangerous zone using Figure 95. Namely, if the actual wave period and calculated wave length can be determined from the wave period experienced onboard, it will be possible to confirm whether the ship is within the dangerous zone using the actual wave period and ship speed (Fig. 100).

In this example, when sailing at 20 knots, the wave period and ship speed ratio show 2.22, thus it is possible to judge whether the ship is within the dangerous zone. By keeping the encounter angle to the waves unchanged and by reducing speed down to 10 knots, the ratio becomes 1.11, which means that it is possible to escape from the dangerous zone (Fig. 100).



Fig. 100 IMO MSC.1/Circ.1228 and "Safety measure for ferries and RORO vessels" in Ministry of Land, Infrastructure, Transport and Tourism."

In reality, if your ship does end up within the dangerous zone as a result of the Encounter Wave Grouping Phenomenon, it is required that you navigate using a combination of speed reduction and alter course in order to escape from the zone.

7-2-2 Parametric Rolling Phenomena

When a ship proceeds through regular longitudinal waves, the ship rolls repeatedly, for instance, to the starboard side on the first crest and to the port side on the following trough (Fig. 101).





Fig. 101 Japan Captains' Association, DVD

However, when parametric rolling occurs, a ship rolls to the starboard side on the first crest and to port side on the following crest, which means that one rolling cycle is completed for every two wave cycles. Consequently, the amplitude of the ship's roll is gradually magnified. The ship rolls only once for every two cycles of passing waves, while the ship pitches once synchronous to the cycle of passing waves. This type of rolling is magnified when the encounter wave period reaches half of the ship's natural rolling period (Fig. 102).



Fig. 102 Japan Captains' Association, DVD

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Regarding this parametric rolling phenomena, the above conditions can occur not only in rough weather, but also in calm oceanographic conditions, when a ship may be approached by a huge swell from a quarter stern.

When the author operated a pure car carrier in the Indian Ocean, the carrier was exposed to wind from 30 degrees on the starboard under wind force 2 and a huge swell from a quarter stern also on the starboard side. The author experienced only a small tolling to begin with, however this gradually developed into a rolling motion and, suddenly, the carrier was on a 15 to 20 degree inclination to the port side.

Even if your ship is exposed to a weak wind during her voyage, it is essential to keep sailing, while paying extra attention to the swells. Also, please remember that this is more likely to occur on ships with smaller GM. Countermeasures to avoid parametric rolling are as below:

Countermeasures for parametric rolling

- The encounter wave period shall not coincide with one half of the natural rolling period of ship.
- When the ship rolls once while pitching twice, and you believe that the ship is parametrically rolling, you should reduce the ship's speed as much as possible in order to maintain course. Or, in the case that there are several huge swells, you should observe which swells are causing parametric rolling and alter course by a wide margin if necessary.
- In addition, care must be taken regarding the synchronous rolling motion which might occur when the encounter wave period is equal to the natural rolling period of the ship.

= Synchronous rolling motions =

These phenomena might occur when the encounter wave period is equal to the natural rolling period of the ship. This synchronous rolling motion means that there is greater probability of ship rolling motion intensifying dramatically to cause a large angle inclination, and that control of the ship may be lost (Fig. 103).





7-2-3 Reduction of Stability

Firstly, stability will be reviewed.

= Stability =

When a ship floats under stable conditions, both the downward gravitational force, acting on the centre of gravity G, and the upward buoyant force, acting on the centre of buoyancy B, act on the same vertical line in equilibrium (Fig. 104).



Fig. 104 Japan Captains' Association, DVD

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If a ship heels due to an external force, the centre of buoyancy shifts from the initial vertical line due to the relocation of the immersed section, although the centre of gravity remains at the initial position. This creates an imbalance between the gravitational force and the buoyant force, which had previously been in line (Fig. 105).



Fig. 105 Japan Captains' Association, DVD

The intersection point M of the vertical line passing the centre of buoyancy and the vertical centreline of the ship is called the metacentre, and the span between G centre of gravity and M metacentre is called GM. This GM governs a ship's stability and its dynamic characteristics.

The scope of a ship's stability is defined primarily by the length of the lever between the centre of buoyancy and the centre of gravity GZ, which is obtained by the formula whereby GM is multiplied by $\sin\theta$ (Fig. 106).

Stability can be calculated with the following calculation formula:





Fig. 106 Japan Captains' Association, DVD

Stability = Displacement tonnage (W) × righting arm (righting lever) (GZ)

In addition, there is the following relationship between the natural rolling period of a ship (T) and GM which is closely related to stability (Calculating formula 107).

$$GM = \frac{4\pi^{2} \times K^{2}}{g \times T^{2}}$$

$$\Rightarrow 0.64 \times \frac{B^{2}}{T^{2}}$$

$$T : Rolling period (sec)$$

$$K: Radius of gyration
(Large vessel 0.4×B) m$$

$$B : Breadth (m)$$

$$g : Gravitational acceleration
(9.8m/sec^{2})$$

Calculating formula 107

In the case of loaded dry bulk cargo or a ship loaded with liquid cargo such as a tanker, there is not a significant difference between the actual GM and the GM that was calculated by cargo stowage calculation software.

However, in the case of actual cargo weight being different from the declared weight, i.e. a

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container ship, there is a large difference between the actual GM and the calculated GM that uses cargo information provided from the terminal.

The author has also been aboard an 8,000 TEU type container carrier. The author experienced several occasions whereby the calculated draft on departure was less than the actual draft - by more than 30cm. At the time of loading 1,000 containers, as the Ton per Centimeter (TPC) was 100 tons (this is the amount of weight necessary to submerge the ship's hull at 1 cm), it means that containers which were heavier than the declared weight (by 3,000 tons at gross weight) were loaded (each container was on average 3 tons heavy). Thus, calculated GM and actual GM are different (on occasion there may be a significant difference, for example, the actual measured GM may be around 30cm smaller than that of the calculated GM).

Regarding container ships with a large number of ports of call, stability is calculated (including each calculation of estimated departure draft, strength and GM) by reading the declared weights and differences compared to the actual weight from the drafts of each port, based on accumulated data and past records. In order to take the differences into consideration, firstly a calculation is carried out using the cargo information provided by the terminal. Then, the value, which multiplies the difference per one container which is calculated using the empirical value multiplied by the quantity of containers, is to be purposefully input into the 1st tier of the cargo on deck most closely located to the centre of hull gravity G - we calculated the estimated departure draft and GM using a wide margin to be on the safe side.

After having departed port, each duty officer gauges the natural rolling period of the ship, the Master checks actual GoM (values added that account for the reduction of GM due to liquid with free surface in the tank) against a reference list of GM and natural rolling period, the final drawing of which is provided by the shipyard.

= Reduction of stability in following seas =

The degree of stability is determined generally by the area of the water plane as previously shown in the chart. For instance, if a ship rides on a crest equal in length to the ship's length at midships, stability is reduced as the water planes at her bow and stern decrease due to the lower water lines at both ends (Fig. 108).





Fig. 108 Japan Captains' Association, DVD

On the other hand, when a trough of the same wave passes the midships, stability is increased as the water planes at her bow and stern increase due to higher water lines (Fig. 109).



Fig. 109 Japan Captains' Association, DVD

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Even if a ship is in a situation with reduced stability, the time span the ship might endure this will be shorter when sailing in counter seas. Conversely, the possibility of risk is increased in following and quartering seas, as the time span is greatly increased (Fig. 110).



Fig. 110 Japan Captains' Association, DVD

Figure 111 shows the increase or decrease of a container ship's stability. The curves show that stability drastically decreases at the crest of the wave.



Fig. 111 Japan Captains' Association, DVD



The reduction of stability tends to be more significant in fine ships with a large flare, such as: container ships, fishing vessels and pleasure boats; and least significant in full-hull ships, such as: tankers and bulkers (Fig. 112).



Fig. 112 Japan Captains' Association, DVD

Reduction of stability like this occurs when the ship speed is the same as the speed of the waves. When the crest of a wave stays under the metacentre, the risk can be increased. Figure 113 shows a diagram of tank experiments of a model container ship, and at which angle the ship capsizes. It is possible to observe that capsizing occurred at around 1.5, when dangerous encounter wave grouping phenomena are more frequent.

Length	Breadth	Depth	Draft
150.0m	27.2m	13.5m	8.5m



Fig. 113 Japan Captains' Association, DVD

Using the same model ship sailing at 22 knots, Figure 114 indicates the frequency of capsizes due to a reduction of stability by changing the angles of encounter in following seas. One can see that the frequency of capsizes increases when sailing in following seas that approach the aft from 10 to 50 degrees. In particular, 20 to 40 degrees aft is conspicuously the most dangerous area.



Fig. 114 Japan Captains' Association, DVD

From data obtained by past experiments, we can see how the frequency of capsizes due to a reduction of stability changes depending on the ship's speed. The data indicate that the faster the ship sails in those sea states, the greater the risk of capsizing is due to the reduction of





stability, and in contrast, the risk decreases at reduced speeds (Fig. 115).

Fig. 115 Japan Captains' Association, DVD

= Countermeasures for the reduction of stability in following seas =

If the angle of encounter is not altered to 20-40 degrees, the frequency of capsizes will decrease. However, the most effective countermeasure is to reduce speed so that the crest of a wave does not stay under the metacentre as described above.

7-2-4 Surf-riding (Broaching-to) Phenomena

Broaching-to phenomena occurs when operating in following seas and when the speed of the waves is the same or faster than the ship's speed. It often results in a ship losing steerage when it is being accelerated forwards on the steep forefront of a high wave, which is then followed by surf-riding. This is an extremely dangerous phenomenon as the ship will lose steerage and turn abruptly with great centrifugal inertia exposing her broadside to beam seas, often resulting in instantaneous capsizing.

In the diagram (Fig. 116), the abscissa represents propeller revolutions and the ordinate represents the speed of the model ship. In calm seas, the ship's speed changes, as propeller revolutions (r.p.m.) increase. R.P.M. and ship speed are proportional, however it becomes a loose curve due to propeller slip.



Fig. 116 Japan Captains' Association, DVD

In contrast, in following seas, even if propeller revolutions are increased, the actual speed of the model ship varies between up and down slopes of a wave, because ascending is impeded every up slope of a wave and descending accelerated at each down slop of a wave under the influence of the sea (blue coloured area). The difference between these ascending and descending speeds tends to increase as propeller revolutions increase (Fig. 117).





Fig. 117 Japan Captains' Association, DVD

However, if propeller revolutions are increased, this regular repetition of speed change suddenly collapses at a specific number of revolutions, and the ship's speed sharply increases discontinuously (orange coloured area). In other words, the surf-riding phenomenon is created when the maximum speed of these repeating motions of the model ship reaches the same speed as the waves (Fig. 118).



Fig. 118 Japan Captains' Association, DVD

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As can be seen in Figs. 119, 120 and 121, the graphs show the patterns of speed changes when a model ship sails while being exposed to following seas at a constant rotation of propeller revolutions. The horizontal axis represents time (seconds) and the vertical axis represents ship speed. The ship speed is accelerated at the down slope of a wave with a resultant greater ratio of period of proceeding with the waves and the ship speed is decelerated at the up slope of wave with a resultant smaller ratio of period. Figure 119 indicates the speed changes that occur when propeller revolutions and speed are low. Speed variations almost draw sinusoidal waves with a resultant smaller ratio of period.



Fig. 119 Japan Captains' Association, DVD

While the ship speed accelerates it closer matches the speed of the wave, the number of the speed variations decrease (Figs. 120 and 121).



When the ship's speed increases a little



Fig. 120 Japan Captains' Association, DVD

When the ship's speed is increased further



Fig. 121 Japan Captains' Association, DVD

As can be seen in Figure 122, the ship speed is accelerated at the down slope of a wave with a resultant greater ratio of period of proceeding with the waves and the ship speed is decelerated at the up slope of wave with a resultant smaller ratio of period.



Fig. 122 Japan Captains' Association, DVD

Even if propeller revolutions are further increased, ship speed does not fluctuate any more when the ship comes under the grip of surf-riding (Fig. 123).



Fig. 123 Japan Captains' Association, DVD

To avoid surf-riding (broaching-to), one should be aware of the ship's critical speed that



causes this phenomenon. The critical speed varies according to wave length and wave height. According to 4.2.1 of the Guidlines (MSC.1/Circ.1228), the following formula (Calculation formula 124) for a ship's critical speed is set forth.

Broaching Occurence Critical Ship's speed (kts) = $1.8 \times \sqrt{\text{Ship's Length (Lpp)}} \div \text{COS(180} - \alpha)$ Range of α : 135° < α < 225°

Calculating formula 124

Therefore, the ship's speed should be reduced to less than 1.8 multiplied by root L. (length of ship) in order to avoid surf-riding.

Although this cannot be found in the MSC.1/Circ 1228, in the previous MSC.1/Circ .707(19 October 1995), the following formula (Calculating formula 125) is shown as "Surf-Riding (Broaching-to) marginal zone" in order to avoid huge speed changes. This speed change runs the risk of causing broaching-to, although it does not reach the "Surf-Riding (Broaching-to) dangerous zone" and surf-riding. To be on the safe side, it is necessary not to enter this zone.

Broaching Occurence Merginal Ship's speed (kts) =

$$1.4 \times \sqrt{\text{Ship's Length} (\text{Lpp}) \div \text{COS}(180 - \alpha)}$$

Calculating formula 125

Figure 126 shows the "Surf-Riding Dangerous Zone" (area in pink colour) which is introduced in the Guidlines (MSC.1/Circ.1228). In addition, "Surf-Riding (Broaching-to) marginal zone (area in yellow colour)" shown in MSC.1/Circ .707(19 October 1995) has been added.



In Fig. 126 "Surf-Riding (Broaching-to) marginal zone speed" has been added to MSC.1/1228 4.2.1

Table 127 shows the ship's Critical speed at which broaching-to phenomena will occur. When deciding on the optimum speed, you should take the extra speed added by acceleration at the down slope of a wave into consideration. Even for a vessel whose Lpp is longer than 200m, it is important to be aware of this phenomenon that may more commonly be associated with high speed crafts.



Broaching Occurences Critical Speed								
Ship's Length (Lpp)	10 m	20 m	50 m	70 m	100 m	150 m	200 m	300 m
1.8 × √ Lpp	6 Kts	8 Kts	13 Kts	15 Kts	18 Kts	22 Kts	25 Kts	31 Kts
Broaching Occurences Mergical Speed								
1.4 × √ Lpp	4 Kts	6 Kts	10 Kts	12 Kts	14 Kts	17 Kts	20 Kts	24 Kts

lap	le	12	27

When a ship is sailing in shallow waters, the surf-riding phenomenon can occur even when a ship is sailing at a comparatively low speed. This is because the propagation of waves is deterred in shallow waters, so the critical point may be comparatively attainable even when a ship is sailing at a low speed. This should be borne in mind in particular by seafarers operating high-speed pleasure boats and fishing vessels.

7-2-6 Countermeasures for Rough Weather Following Seas

The most effective countermeasure in head and countering seas in rough weather is to reduce speed, however, in following seas, the combination of dynamically changing heading course and ship speed reduction are required in order to avoid the aforementioned phenomena from occurring. In addition, a great deal of ship operating skill will be needed because of the decreased steerage ability experienced when attempting to change heading course.

In particular, when there are wind and waves and several huge swells from a quarter stern, the countermeasures should be taken while at the same time appropriately judging which wind and waves or which direction of swell are causing the ship's pitching and rolling. The length, height, period and speed of the waves must also be precisely grasped. Prior to all of this, it should be noted that, prompt maneuvering in order to avoid even coming into contact with such phenomena should be taken at the earliest possible moment.



In 4-1-4, based on his own experiences, the author introduced how to choose an appropriate route when navigating the North Pacific Ocean en route North America to Japan during the winter season. In anticipation of operating a ship in areas of rough weather, such as head and countering or following seas, the Master is duty-bound to: obtain as much information as possible on weather and sea conditions, select the most suitable sea route while taking into account the ETA, be aware of the amount of fuel being consumed and possible cargo damage that can occur as a result of rough weather. The charterer will demand that a tight schedule and minimized amount of fuel usage be adhered to in order to improve profitability of the vessel.

Needless to say, the final choice of route lies with the Master and the safety of his vessel. With this in mind, all information between the charterer, the shipowner, the ship management company and the WRS (Weather Routing Service) provider must be shared. However, first and foremost, it is necessary to set the course with the agreement of those concerned and the Master - who stands on the front line of ship handling, whose opinions and intentions are to be well valued - before departing the port and before the ship is exposed to rough sea.

Although the precise prediction of weather and sea conditions has improved over the recent years, it is still not 100% guaranteed. Naturally, although one may choose a route with a detour, there may be a situation whereby a shorter voyage was not taken due to a misinformed rough sea forecast. The author also experienced occasions whereby weather and sea conditions fell outside of his prediction in the winter season of the North Pacific Ocean, especially when navigating en route from North America to Japan. However, this came about as a result of weather and sea conditions which are difficult to forecast in advance correctly. Therefore, through the cooperation and understanding of all concerned parties including the charterers/operators, shipowners and ship management companies it should be understood that external and unpredictable phenomena that are beyond the control of the seafarer are existent and that those seafarers should not be held singularly accountable as an afterthought.



Attachment: MSC.1/Circ. 1228 (11 January 2007)

References

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 Japan Captains' Association, DVD SHIP HANDLING IN FOLLOWING SEAS SHIP HANDLING IN HEAD AND COUNTERING SEAS Weather and Sea States around Japan and Characteristics of Major Ports and Bay in Japan Many of the figures and photographs are cited from the above DVD. We are deeply grateful for being allowed to use the above references.

From the Japan Meteorological Agency website

https://www.jma.go.jp/jma/index.html

We would also like express our gratitude to the Japan Meteorological Agency for allowing us refer to their website for figures and explanations.

- Masanori Shiraki, 2007, Shin Hyakuman-nin no Tenki Kyōshitsu: Seizando
- From the Japan Weather Association website

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REVISED GUIDANCE TO THE MASTER FOR AVOIDING DANGEROUS SITUATIONS IN ADVERSE WEATHER AND SEA CONDITIONS

1 The Maritime Safety Committee, at its eighty-second session (29 November to 8 December 2006), approved the Revised Guidance to the master for avoiding dangerous situations in adverse weather and sea conditions, set out in the annex, with a view to providing masters with a basis for decision making on ship handling in adverse weather and sea conditions, thus assisting them to avoid dangerous phenomena that they may encounter in such circumstances.

2 Member Governments are invited to bring the annexed Revised Guidance to the attention of interested parties as they deem appropriate.

3 This Revised Guidance supersedes the Guidance to the master for avoiding dangerous situations in following and quartering seas (MSC/Circ.707).



MSC.1/Circ.1228

ANNEX

REVISED GUIDANCE TO THE MASTER FOR AVOIDING DANGEROUS SITUATIONS IN ADVERSE WEATHER AND SEA CONDITIONS

1 GENERAL

1.1 Adverse weather conditions, for the purpose of the following guidelines, include wind induced waves or heavy swell. Some combinations of wave length and wave height under certain operation conditions may lead to dangerous situations for ships complying with the IS Code. However, description of adverse weather conditions below shall not preclude a ship master from taking reasonable action in less severe conditions if it appears necessary.

1.2 When sailing in adverse weather conditions, a ship is likely to encounter various kinds of dangerous phenomena, which may lead to capsizing or severe roll motions causing damage to cargo, equipment and persons on board. The sensitivity of a ship to dangerous phenomena will depend on the actual stability parameters, hull geometry, ship size and ship speed. This implies that the vulnerability to dangerous responses, including capsizing, and its probability of occurrence in a particular sea state may differ for each ship.

1.3 On ships which are equipped with an on-board computer for stability evaluations, and which use specially developed software which takes into account the main particulars, actual stability and dynamic characteristics of the individual ship in the real voyage conditions, such software should be approved by the Administration. Results derived from such calculations should only be regarded as a supporting tool during the decision making process.

1.4 Waves should be observed regularly. In particular, the wave period T_W should be measured by means of a stop watch as the time span between the generation of a foam patch by a breaking wave and its reappearance after passing the wave trough. The wave length λ is determined either by visual observation in comparison with the ship length or by reading the mean distance between successive wave crests on the radar images of waves.

1.5 The wave period and the wave length λ are related as follows:

$$\lambda = 1.56 \cdot T_W^2$$
 [m] or $T_W = 0.8\sqrt{\lambda}$ [s]

1.6 The period of encounter T_E could be either measured as the period of pitching by using stop watch or calculated by the formula:

$$T_{\rm E} = \frac{3T_{\rm W}^2}{3T_{\rm W} + V\cos(\alpha)} \quad [s]$$

where V = ship's speed [knots]; and

 α = angle between keel direction and wave direction (α = 0° means head sea)

1.7 The diagram in figure 1 may as well be used for the determination of the period of encounter.



Figure 1: Determination of the period of encounter T_E

2 CAUTIONS

2.1 It should be noted that this guidance to the master has been designed to accommodate for all types of merchant ships. Therefore, being of a general nature, the guidance may be too restrictive for certain ships with more favourable dynamic properties, or too generous for certain other ships. A ship could be unsafe even outside the dangerous zones defined in this guidance if the stability of the ship is insufficient. Masters are requested to use this guidance with fair observation of the particular features of the ship and her behaviour in heavy weather.

2.2 It should further be noted that this guidance is restricted to hazards in adverse weather conditions that may cause capsizing of the vessel or heavy rolling with a risk of damage. Other hazards and risks in adverse weather conditions, like damage through slamming, longitudinal or torsional stresses, special effects of waves in shallow water or current, risk of collision or stranding, are not addressed in this guidance and must be additionally considered when deciding on an appropriate course and speed in adverse weather conditions.

2.3 The master should ascertain that his ship complies with the stability criteria specified in the IS Code or an equivalent thereto. Appropriate measures should be taken to assure the ship's watertight integrity. Securing of cargo and equipment should be re-checked. The ship's natural period of roll T_R should be estimated by observing roll motions in calm sea.



3 DANGEROUS PHENOMENA

3.1 Phenomena occurring in following and quartering seas

A ship sailing in following or stern quartering seas encounters the waves with a longer period than in beam, head or bow waves, and principal dangers caused in such situation are as follows:

3.1.1 Surf-riding and broaching-to

When a ship is situated on the steep forefront of a high wave in following or quartering sea conditions, the ship can be accelerated to ride on the wave. This is known as surf-riding. In this situation the so-called broaching-to phenomenon may occur, which endangers the ship to capsizing as a result of a sudden change of the ship's heading and unexpected large heeling.

3.1.2 Reduction of intact stability when riding a wave crest amidships

When a ship is riding on the wave crest, the intact stability can be decreased substantially according to changes of the submerged hull form. This stability reduction may become critical for wave lengths within the range of 0.6 L up to 2.3 L, where L is the ship's length in metres. Within this range the amount of stability reduction is nearly proportional to the wave height. This situation is particularly dangerous in following and quartering seas, because the duration of riding on the wave crest, which corresponds to the time interval of reduced stability, becomes longer.

3.2 Synchronous rolling motion

Large rolling motions may be excited when the natural rolling period of a ship coincides with the encounter wave period. In case of navigation in following and quartering seas this may happen when the transverse stability of the ship is marginal and therefore the natural roll period becomes longer.

3.3 Parametric roll motions

3.3.1 Parametric roll motions with large and dangerous roll amplitudes in waves are due to the variation of stability between the position on the wave crest and the position in the wave trough. Parametric rolling may occur in two different situations:

- .1 The stability varies with an encounter period T_E that is about equal to the roll period T_R of the ship (encounter ratio 1:1). The stability attains a minimum once during each roll period. This situation is characterized by asymmetric rolling, i.e. the amplitude with the wave crest amidships is much greater than the amplitude to the other side. Due to the tendency of retarded up-righting from the large amplitude, the roll period T_R may adapt to the encounter period to a certain extent, so that this kind of parametric rolling may occur with a wide bandwidth of encounter periods. In quartering seas a transition to harmonic resonance may become noticeable.
- .2 The stability varies with an encounter period T_E that is approximately equal to half the roll period T_R of the ship (encounter ratio 1:0.5). The stability attains a minimum twice during each roll period. In following or quartering seas, where the encounter period becomes larger than the wave period, this may only occur

with very large roll periods T_R , indicating a marginal intact stability. The result is symmetric rolling with large amplitudes, again with the tendency of adapting the ship response to the period of encounter due to reduction of stability on the wave crest. Parametric rolling with encounter ratio 1:0.5 may also occur in head and bow seas.

3.3.2 Other than in following or quartering seas, where the variation of stability is solely effected by the waves passing along the vessel, the frequently heavy heaving and/or pitching in head or bow seas may contribute to the magnitude of the stability variation, in particular due to the periodical immersion and emersion of the flared stern frames and bow flare of modern ships. This may lead to severe parametric roll motions even with small wave induced stability variations.

3.3.3 The ship's pitching and heaving periods usually equals the encounter period with the waves. How much the pitching motion contributes to the parametric roll motion depends on the timing (coupling) between the pitching and rolling motion.

3.4 Combination of various dangerous phenomena

The dynamic behaviour of a ship in following and quartering seas is very complex. Ship motion is three-dimensional and various detrimental factors or dangerous phenomena like additional heeling moments due to deck-edge submerging, water shipping and trapping on deck or cargo shift due to large roll motions may occur in combination with the above mentioned phenomena, simultaneously or consecutively. This may create extremely dangerous combinations, which may cause ship capsize.

4 **OPERATIONAL GUIDANCE**

The shipmaster is recommended to take the following procedures of ship handling to avoid the dangerous situations when navigating in severe weather conditions.

4.1 Ship condition

This guidance is applicable to all types of conventional ships navigating in rough seas, provided the stability criteria specified in resolution A.749(18), as amended by resolution MSC.75(69), are satisfied.

4.2 How to avoid dangerous conditions

4.2.1 For surf-riding and broaching-to

Surf-riding and broaching-to may occur when the angle of encounter is in the range $135^{\circ} < \alpha < 225^{\circ}$ and the ship speed is higher than $(1.8\sqrt{L})/\cos(180-\alpha)$ (knots). To avoid surf riding, and possible broaching the ship speed, the course or both should be taken outside the dangerous region reported in figure 2.





Figure 2: Risk of surf-riding in following or quartering seas

4.2.2 For successive high-wave attack

4.2.2.1 When the average wave length is larger than 0.8 L and the significant wave height is larger than 0.04 L, and at the same time some indices of dangerous behaviour of the ship can be clearly seen, the master should pay attention not to enter in the dangerous zone as indicated in figure 3. When the ship is situated in this dangerous zone, the ship speed should be reduced or the ship course should be changed to prevent successive attack of high waves, which could induce the danger due to the reduction of intact stability, synchronous rolling motions, parametric rolling motions or combination of various phenomena.

4.2.2.2 The dangerous zone indicated in figure 3 corresponds to such conditions for which the encounter wave period (T_E) is nearly equal to double (i.e., about 1.8-3.0 times) of the wave period (T_W) (according to figure 1 or paragraph 1.4).

4.2.3 For synchronous rolling and parametric rolling motions

4.2.3.1 The master should prevent a synchronous rolling motion which will occur when the encounter wave period T_E is nearly equal to the natural rolling period of ship T_R .

4.2.3.2 For avoiding parametric rolling in following, quartering, head, bow or beam seas the course and speed of the ship should be selected in a way to avoid conditions for which the encounter period is close to the ship roll period $(T_E \approx T_R)$ or the encounter period is close to one half of the ship roll period $(T_E \approx 0.5 \cdot T_R)$.

4.2.3.3 The period of encounter $T_{\rm E}$ may be determined from figure 1 by entering with the ship's speed in knots, the encounter angle α and the wave period T_W .



Figure 3: Risk of successive high wave attack in following and quartering seas

Abbreviations and symbols

Symbols	Explanation	Units
Tw	wave period	s
λ	wave length	m
T _E	encounter period with waves	s
α	angle of encounter ($\alpha = 0^{\circ}$ in head sea, $\alpha = 90^{\circ}$ for sea from starboard side)	degrees
V	ship's speed	knots
T _R	natural period of roll of ship	S
L	length of ship (between perpendiculars)	m






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